



Open Ended Fund Pricing

12th December 2017

at UBS Asset Management, 5 Broadgate, London EC2M 2QS

Questions for breakout session

Current state:

1. What types of pricing policies do you see in the market for open end funds, and what are the main issues raised by (potential) investors around these practices?

2. Are there patterns or trends, by geography (European, US etc.) or investor type (pension fund, insurance company, etc.) that you can identify?

3. Has anyone performed any extensive modelling on fund pricing, and did that lead to a particular outcome?

Operational practices:

4. What is your current pricing mechanism today? What would be your key considerations when opting for or advising on a new fund pricing policy?

5. Have you gone through a change in fund pricing policy? How difficult would it be to switch from one policy to another and how does this operate from a governance perspective?

Next steps:

6. What did you think about the paper? Have we addressed all major items or are there any items/issues that we should further investigate?

7. Could a blended pricing policy solve all potential misalignments?
